



Appl. No. 09/433,777
Atty. Dkt. No. 036481-0135

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joel R. Haynes et al.
Title: Adjuvanted Genetic Vaccines
Appl. No.: 09/433,777
Filing Date: 11/03/1999
Examiner: A. M. S. Wehbe
Art Unit: 1632

CERTIFICATE OF EXPRESS MAILING	
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56**

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Commissioner for Patents
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Sir:

Submitted herewith on Form PTO/SB/08 is a listing of the documents some of which were cited by or submitted to the U.S. PTO in parent application Serial No. 08/472,085; 09/122,931, filed 06/07/1995; 07/27/1998. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

A copy of each non-U.S. patent document is being submitted to comply with the provisions of 27 CFR §1.97 and §1.97.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

12/19/2005 FMETEKI1 00000021 190741 09433777

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TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before payment of the issue fee.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE

The Commissioner is hereby authorized to deduct the fee of \$180.00 in connection with submission of a supplemental information disclosure statement under 37 CFR §1.97(d) from Deposit Account No. 19-0741.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, Deposit Account No. 19-0741.

Respectfully submitted,

Date December 16, 2005

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Substitute for form 1449B/PTO
**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**
 Date Submitted: August 26, 2005
(use as many sheets as necessary)

Sheet	1	of	2
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Application Number	09/433,777
Filing Date	11/03/1999
First Named Inventor	Joel R. Haynes
Group Art Unit	1632
Examiner Name	A. M. S. Wehbe
Attorney Docket Number	036481-0135

[illegible][illegible]

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
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Date
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/433,777
Date Submitted: August 26, 2005		Filing Date	11/03/1999
(use as many sheets as necessary)		First Named Inventor	Joel R. Haynes
		Group Art Unit	1632
		Examiner Name	A. M. S. Wehbe
		Attorney Docket Number	036481-0135
Sheet	2	of	2

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A8	LARSEN ET AL., "Coadministration of DNA Encoding Interleukin-6 and Hemagglutinin Confers Protection from Influenza Virus Challenge in Mice", Journal of Virology, Feb. 1997, pp. 1704-1708, Vol.72, No. 2	
	A9	ICN BIOCHEMICALS, INC., "Biochemicals, Organic Chemicals, Radiochemicals, Cell Biology, Immuno Biologicals, Diagnostics", Index, ICN Biochemicals, Inc. 3300 Hyland Avenue, Costa Mesa, CA	
	A10	JOHNSTON ET AL., "Biolistic Transformation of Animal Tissue", In Vitro Cell. Dev. Biol. 27, January 1991, pp. 11-14	
	A11	KLEIN ET AL., "High-Velocity Microprojectiles for Delivering Nucleic Acids into Living Cells", Nature, pp. 70-73, Vol. 327, May 7 1987	
	A12	ORKIN ET AL., "Report and Recommendations of the Panel to Assess the NIH Investment in Research on Gene Therapy", December 7, 1995	
	A13	SANFORD ET AL., "Delivery of Substances into Cells and Tissues Using a Particle Bombardment Process", Particulate Science and Technology 5, 1987, pp. 27-37, Hemisphere Publishing Corporation	
	A14	Clinical Research, April 1994, Vol. 42, No. 2	
	A15	STRYER, "Synthesis of a Specific Antibody Following Exposure to an Antigen", 1975, p. 732, W.H. Freeman and Company, San Francisco, CA	
	A16	TANG ET AL., "Genetic Immunization is a Simple Method for Eliciting an Immune Response", Nature, March 12, 1992, pp. 152-154, Vol. 356	
	A17	ULMER ET AL., "Heterologous Protection Against Influenza by Injection of DNA Encoding a Viral Protein", Science, March 19, 1993, pp. 1745-1749, Vol. 259	
	A18	YANG ET AL., "In Vivo and In Vitro Gene Transfer to Mammalian Somatic Cells by Particle Bombardment," Proc. Natl. Acad. Sci. USA, December 1990, pp. 9568-9572, Vol. 87	
	A19	XIANG ET AL., "Manipulation of the Immune Response to a Plasmid-Encoded Viral Antigen by Coinoculation with Plasmids Expressing Cytokines", Immunity, February 1995, pp. 129-135, Vol. 2, Cell Press	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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